

# Semidefinite programming converse bounds for quantum communication

[arXiv:1709.00200](https://arxiv.org/abs/1709.00200)

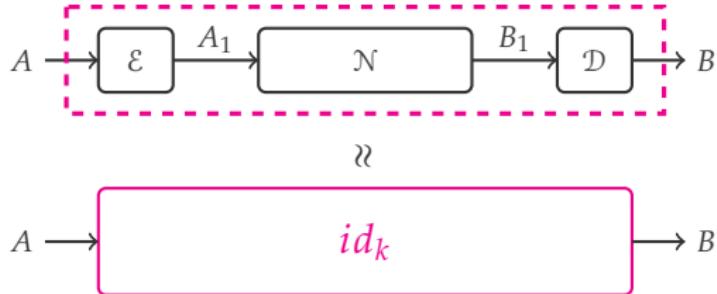
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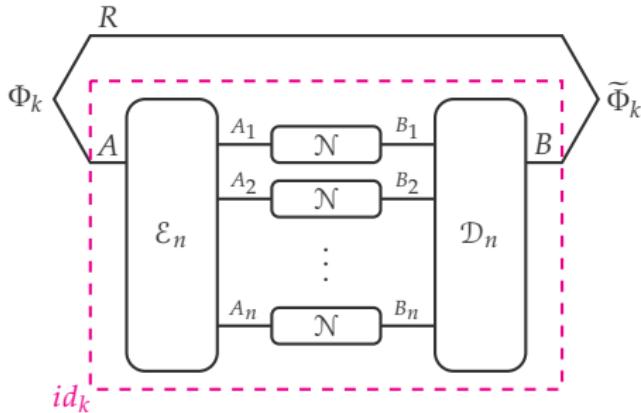
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How well the simulation is? [Kretschmann, Werner, 2004]

- ◎ Channel distance  $\|\mathcal{D} \circ \mathcal{N} \circ \mathcal{E} - id_k\|_\diamond$ .
- ◎ Channel fidelity  $F(\Phi_k, \mathcal{D} \circ \mathcal{N} \circ \mathcal{E}(\Phi_k))$ . ✓,  
where  $\Phi_k$  is  $k$ -dimensional maximally entangled state.
- ◎ ...



- ◎  $r$ : qubits transmitted per channel use.
- ◎  $n$ : number of channel copies.
- ◎  $\varepsilon$ : error tolerance.

- ◎ A triplet  $(r, n, \varepsilon)$  is achievable if  $\exists \Phi_k, \mathcal{E}_n$  and  $\mathcal{D}_n$  such that

$$\frac{1}{n} \log k \geq r, \quad F(\Phi_k, \tilde{\Phi}_k) \geq 1 - \varepsilon.$$

- ◎ Optimal achievable rate given  $n, \varepsilon$

$$r^*(n, \varepsilon) := \max\{r : (r, n, \varepsilon) \text{ achievable}\}.$$

- ◎ Quantum capacity

$$Q(\mathcal{N}) := \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} r^*(n, \varepsilon).$$

## Theorem (Barnum, Nielsen, Schumacher, 1996-2000; Lloyd, Shor, Devetak, 1997-2005)

For any quantum channel  $\mathcal{N}$ , its quantum capacity is equal to the regularized coherent information of the channel:

$$Q(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} I_c(\mathcal{N}^{\otimes n}),$$

where  $I_c(\mathcal{N}) = \max_{\phi_{AA'}} I(A\rangle B)_{\mathcal{N}_{A' \rightarrow B}(\phi_{AA'})}$  and  $\phi_{AA'}$  pure state.

- ◎ Not a single-letter formula.
- ◎  $I_c(\mathcal{N})$  not additive in general.

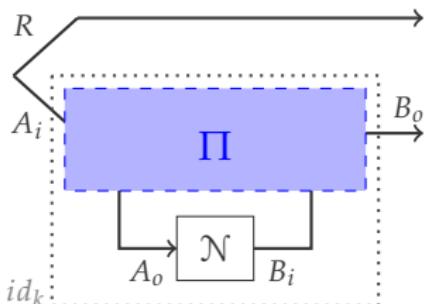
## Known converse bounds

	Strong converse	Efficiently computable	For general channels
$R$	✓	? (max-min)	✓
$\epsilon$ -DEG	?	✓	✗
$E_C$	✓	? (regularization)	✓
$Q_E$	✓	✓	✓
$Q_{ss}$	?	? (unbounded dimension)	✓
$Q_\Theta$	✓	✓	✓

- ◎  $R$ : Rains information [Tomamichel, Wilde, Winter, 2017]
- ◎  $\epsilon$ -DEG: Epsilon degradable bound [Sutter, Scholz, Winter, Renner, 2014]
- ◎  $E_C$ : Channel's entanglement cost [Berta, Brandão, Christandl, Wehner, 2013]
- ◎  $Q_E$ : Entanglement assisted quantum capacity [Bennett, Devetak, Harrow, Shor, Winter, 2014; Berta, Christandl, Renner, 2011]
- ◎  $Q_{ss}$ : Quantum capacity with symmetric side channels [Smith, Smolin, Winter, 2008]
- ◎  $Q_\Theta$ : Partial transposition bound [Holevo, Werner, 2001]

# One-shot quantum capacity

# One-shot quantum capacity



◎ Unassisted code (UA):

$$\Pi_{A_i B_i \rightarrow A_o B_o} = \mathcal{E}_{A_i \rightarrow A_o} \otimes \mathcal{D}_{B_i \rightarrow B_o}.$$

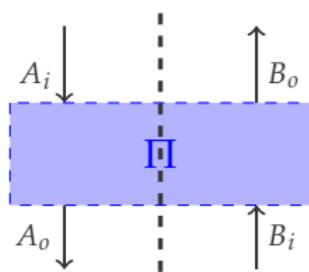
◎ Positive partial transpose preserving (PPT) code: [Rains, 1999; Rains, 2001]

$$\Pi_{A_i B_i \rightarrow A_o B_o} \text{ PPT operation } J_{\Pi}^{T_{B_i B_o}} \geq 0.$$

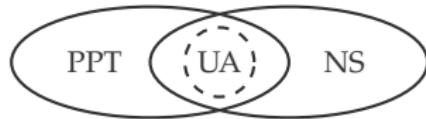
◎ Non-signalling (NS) code: [Leung, Matthews, 2015; Duan, Winter, 2016]

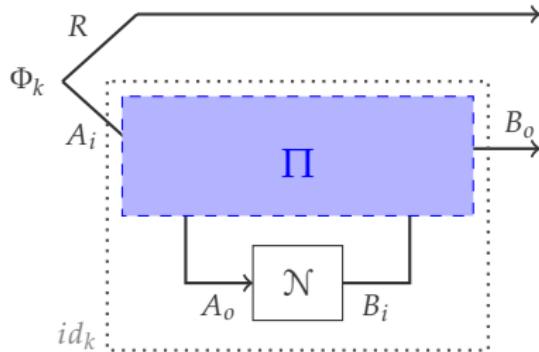
$$\text{Tr}_{A_o} J_{\Pi} = \frac{\mathbb{1}_{A_i}}{d_{A_i}} \otimes \text{Tr}_{A_i A_o} J_{\Pi}, \quad (A \nrightarrow B)$$

$$\text{Tr}_{B_o} J_{\Pi} = \frac{\mathbb{1}_{B_i}}{d_{B_i}} \otimes \text{Tr}_{B_i B_o} J_{\Pi}, \quad (B \nrightarrow A)$$



$$J_{\Pi} = \Pi_{A_i B_i \rightarrow A_o B_o} \left( \Phi_{A_i B_i : A'_i B'_i} \right)$$





## Maximum channel fidelity

$$F_\Omega(\mathcal{N}, k) := \sup_{\Pi \in \Omega} \text{Tr} \left( \underbrace{\Phi_k}_{\text{input}} \cdot \underbrace{\Pi \circ \mathcal{N}(\Phi_k)}_{\text{output}} \right).$$

## One-shot quantum capacity

$$Q_\Omega^{(1)}(\mathcal{N}, \varepsilon) := \log \max \{k : F_\Omega(\mathcal{N}, k) \geq 1 - \varepsilon\}.$$

↗ error tolerance

## (Asymptotic) quantum capacity

$$Q_\Omega(\mathcal{N}) = \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} Q_\Omega^{(1)}(\mathcal{N}^{\otimes n}, \varepsilon).$$

Semidefinite programming converse bounds for quantum communication(1709.00200)  
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[Leung, Matthews, 2015]

$$F_\Omega(N, k) = \max \text{Tr } J_N W_{AB} \text{ s.t. } 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \text{Tr } \rho_A = 1,$$

$$\text{PPT: } -k^{-1} \rho_A \otimes \mathbb{1}_B \leq W_{AB}^{T_B} \leq k^{-1} \rho_A \otimes \mathbb{1}_B, \text{ NS: } \text{Tr}_A W_{AB} = k^{-2} \mathbb{1}_B.$$

## Optimization characterization

$$Q_{PPT}^{(1)}(N, \varepsilon) = -\log \min m$$

$$\text{s.t. } \text{Tr } J_N W_{AB} \geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B,$$

$$\text{Tr } \rho_A = 1, -m \rho_A \otimes \mathbb{1}_B \leq W_{AB}^{T_B} \leq m \rho_A \otimes \mathbb{1}_B,$$

$$[\text{Tr}_A W_{AB} = m^2 \mathbb{1}_B, \text{NS condition}]$$

Non-linear terms

$$\begin{aligned}
Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon) &= -\log \min m \\
\text{s.t. } \text{Tr } J_{\mathcal{N}} W_{AB} &\geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \\
\text{Tr } \rho_A = 1, -m\rho_A \otimes \mathbb{1}_B &\leq W_{AB}^{T_B} \leq m\rho_A \otimes \mathbb{1}_B. \\
[\text{Tr}_A W_{AB} = m^2 \mathbb{1}_B. \text{ NS condition}]
\end{aligned} \tag{1}$$


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$$\begin{aligned}
g(\mathcal{N}, \varepsilon) &:= \min \text{Tr } S_A \\
\text{s.t. } \text{Tr } J_{\mathcal{N}} W_{AB} &\geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \\
\text{Tr } \rho_A = 1, -S_A \otimes \mathbb{1}_B &\leq W_{AB}^{T_B} \leq S_A \otimes \mathbb{1}_B.
\end{aligned} \tag{2}$$


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$$\begin{aligned}
\tilde{g}(\mathcal{N}, \varepsilon) &:= \min \text{Tr } S_A \\
\text{s.t. } \text{Tr } J_{\mathcal{N}} W_{AB} &\geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \\
\text{Tr } \rho_A = 1, -S_A \otimes \mathbb{1}_B &\leq W_{AB}^{T_B} \leq S_A \otimes \mathbb{1}_B, \\
\text{Tr}_A W_{AB} &= t \mathbb{1}_B.
\end{aligned} \tag{3}$$


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$$\begin{aligned}
\widehat{g}(\mathcal{N}, \varepsilon) &:= \min \text{Tr } S_A \\
\text{s.t. } \text{Tr } J_{\mathcal{N}} W_{AB} &\geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \\
\text{Tr } \rho_A = 1, -S_A \otimes \mathbb{1}_B &\leq W_{AB}^{T_B} \leq S_A \otimes \mathbb{1}_B, \\
\text{Tr}_A W_{AB} &= t \mathbb{1}_B, t \geq \widehat{m}^2, \\
\left( Q_{PPT \cap NS}^{(1)}(\mathcal{N}, \varepsilon) \leq -\log \widehat{m} \right).
\end{aligned} \tag{4}$$

[Tomamichel, Berta, Renes, 2016]

$$\begin{aligned} f(\mathcal{N}, \varepsilon) = \min \text{Tr } S_A \\ \text{s.t. } \text{Tr } J_{\mathcal{N}} W_{AB} \geq 1 - \varepsilon, S_A, \Theta_{AB} \geq 0, \text{Tr } \rho_A = 1, \\ 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, S_A \otimes \mathbb{1}_B \geq W_{AB} + \Theta_{AB}^{T_B}. \end{aligned} \tag{5}$$

## Theorem

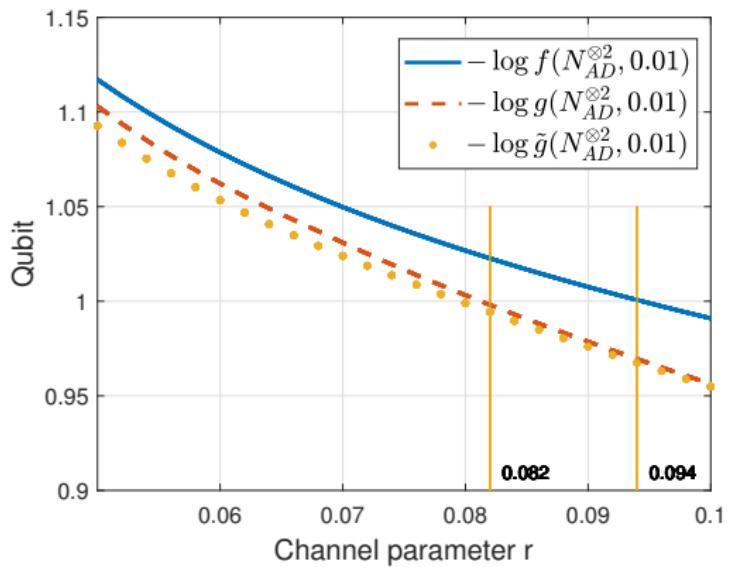
For any quantum channel  $\mathcal{N}$  and error tolerance  $\varepsilon$ , the inequality chain holds

$$\begin{aligned} Q^{(1)}(\mathcal{N}, \varepsilon) &\leq Q_{PPT \cap NS}^{(1)}(\mathcal{N}, \varepsilon) \\ &\leq -\log \widehat{g}(\mathcal{N}, \varepsilon) \leq -\log \widetilde{g}(\mathcal{N}, \varepsilon) \leq -\log g(\mathcal{N}, \varepsilon) \leq -\log f(\mathcal{N}, \varepsilon). \end{aligned} \tag{6}$$

## Example: Amplitude damping channel

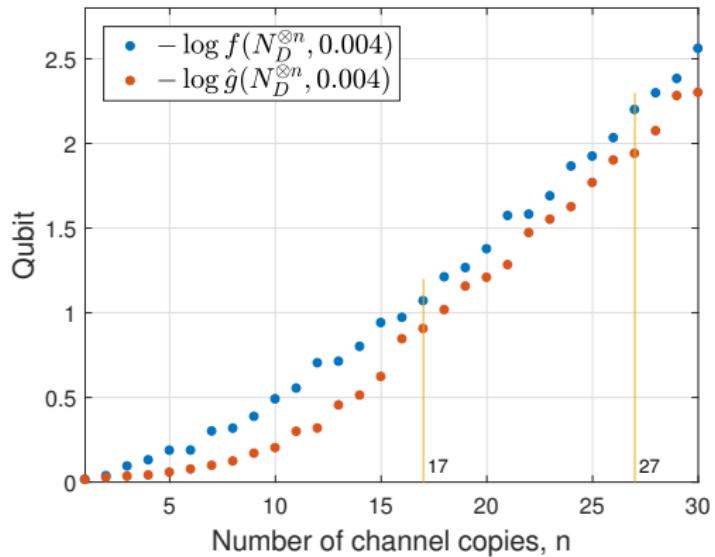
Amplitude damping channel  $\mathcal{N}_{AD} = \sum_{i=0}^1 E_i \cdot E_i^\dagger$  with

$$E_0 = |0\rangle\langle 0| + \sqrt{1-r}|1\rangle\langle 1| \quad E_1 = \sqrt{r}|0\rangle\langle 1|, \quad 0 \leq r \leq 1$$



## Example: Qubit depolarizing channel

Qubit depolarizing channel  $\mathcal{N}_D(\rho) = (1 - p)\rho + \frac{p}{3}(X\rho X + Y\rho Y + Z\rho Z)$ ,  
where  $X, Y, Z$  are Pauli matrices.



# Asymptotic quantum capacity

$$Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon) = -\log \min m$$

s.t.  $\text{Tr } J_{\mathcal{N}} W_{AB} \geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B,$

$$\text{Tr } \rho_A = 1, -m\rho_A \otimes \mathbb{1}_B \leq W_{AB}^{T_B} \leq m\rho_A \otimes \mathbb{1}_B.$$


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Take  $R_{AB} = W_{AB}/m$  and throw away the condition  $W_{AB} \leq \rho_A \otimes \mathbb{1}_B$ , we obtain an **additive SDP upper bound**  $\underline{Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon)} \leq Q_{\Gamma}(\mathcal{N}) - \log(1 - \varepsilon)$ , where

$$\begin{aligned} Q_{\Gamma}(\mathcal{N}) &= \log \max \text{Tr } J_{\mathcal{N}} R_{AB} \\ \text{s.t. } R_{AB}, \rho_A &\geq 0, \text{Tr } \rho_A = 1, \\ &- \rho_A \otimes \mathbb{1}_B \leq R_{AB}^{T_B} \leq \rho_A \otimes \mathbb{1}_B. \end{aligned} \tag{7}$$


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- ◎ Additivity:  $Q_{\Gamma}(\mathcal{N} \otimes \mathcal{M}) = Q_{\Gamma}(\mathcal{N}) + Q_{\Gamma}(\mathcal{M})$  (by utilizing SDP duality).
- ◎ Converse bound for  $Q(\mathcal{N})$ :  $Q(\mathcal{N}) \leq Q_{PPT}(\mathcal{N}) \leq Q_{\Gamma}(\mathcal{N})$ .
- ◎ For noiseless quantum channel  $\mathcal{I}_d$ ,  $Q(\mathcal{I}_d) = Q_{\Gamma}(\mathcal{I}_d) = \log_2 d$ .
- ◎ Strong converse: denote the n-shot optimal rate as  $r$ , then  $(r, n, \varepsilon)$  satisfies  $nr \leq nQ_{\Gamma}(\mathcal{N}) - \log(1 - \varepsilon)$ , which implies  $\varepsilon \geq 1 - 2^{n(Q_{\Gamma}(\mathcal{N}) - r)}$ .

## Theorem (SDP strong converse bound for Q)

For any quantum channel  $\mathcal{N}$ ,

$$Q(\mathcal{N}) \leq Q_{\Gamma}(\mathcal{N}) = \log \max \text{Tr } J_{\mathcal{N}} R_{AB}$$

$$\text{s.t. } R_{AB}, \rho_A \geq 0, \text{Tr } \rho_A = 1,$$

$$-\rho_A \otimes \mathbb{1}_B \leq R_{AB}^{T_B} \leq \rho_A \otimes \mathbb{1}_B.$$

The fidelity of transmission goes to zero if the rate exceeds  $Q_{\Gamma}(\mathcal{N})$ .

### How to understand $Q_{\Gamma}(\mathcal{N})$ ?

$$\begin{aligned} Q_{\Gamma}(\mathcal{N}) &= \max_{\rho_A \in \mathcal{S}(A)} E_W(\mathcal{N}_{A' \rightarrow B}(\phi_{AA'})) \\ &= \max_{\rho \in \mathcal{S}(A)} \min_{\sigma \in \text{PPT}'} D_{\max}(\mathcal{N}_{A' \rightarrow B}(\phi_{AA'}) \| \sigma) \end{aligned}$$

Entanglement measure

where  $E_W(\rho) := \log \max \left\{ \text{Tr } \rho R_{AB} : -\mathbb{1}_{AB} \leq R_{AB}^{T_B} \leq \mathbb{1}_{AB}, R_{AB} \geq 0 \right\}$ , [Wang, Duan, 2016],  $\phi_{AA'}$  is a purification of  $\rho_A$  and  $\text{PPT}' = \{\sigma \geq 0 : \|\sigma^{T_B}\|_1 \leq 1\}$ .

**Remark:** For any EB channel  $\mathcal{N}$ ,  $Q_{\Gamma}(\mathcal{N}) = 0$ . If  $Q_E(\mathcal{N}) \neq 0$ ,  $Q_{\Gamma}(\mathcal{N}) < Q_E(\mathcal{N})$ .

Rains information [Tomamichel, Wilde, Winter, 2016]

$$R(\mathcal{N}) := \max_{\rho \in \mathcal{S}(A)} \min_{\sigma \in \text{PPT}'} D(\mathcal{N}_{A' \rightarrow B}(\phi_{AA'}) \| \sigma)$$

$$Q_\Gamma(\mathcal{N}) = \max_{\rho \in \mathcal{S}(A)} \min_{\sigma \in \text{PPT}'} D_{\max}(\mathcal{N}_{A' \rightarrow B}(\phi_{AA'}) \| \sigma)$$

Due to the fact that  $D(\rho \| \sigma) \leq D_{\max}(\rho \| \sigma)$  [Datta, 2009], we have  $R(\mathcal{N}) \leq Q_\Gamma(\mathcal{N})$ .

- ◎  $R(\mathcal{N})$  strong converse **but** not known to be efficiently computable in general.
- ◎  $Q_\Gamma(\mathcal{N})$  strong converse **and** **efficiently computable** in general.

## Comparison with other bounds

- ◎ Partial Transposition bound [Holevo, Werner, 2001]

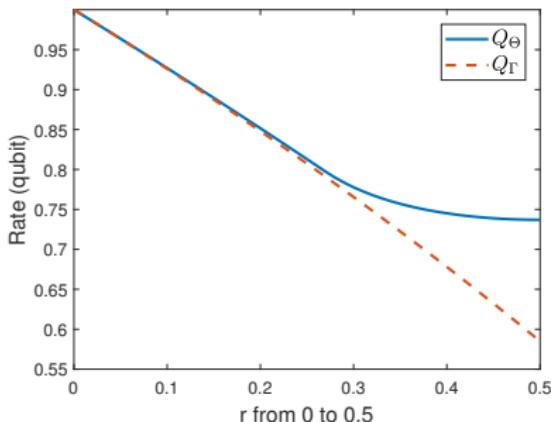
$$Q(\mathcal{N}) \leq Q_{\Theta}(\mathcal{N}) = \log \|\mathcal{N} \circ T\|_{\diamond},$$

where  $T$  is the transpose map,  $\|\mathcal{N}\|_{\diamond} = \|\mathcal{N} \otimes id\|_1$  and can be characterized by SDP from [Watrous, 2012].

### Improved efficiently computable bound

For any quantum channel  $\mathcal{N}$ , it holds  $Q_{\Gamma}(\mathcal{N}) \leq Q_{\Theta}(\mathcal{N})$ .

**Example:**  $\mathcal{N}_r = \sum_i E_i \cdot E_i^{\dagger}$  where  $E_0 = |0\rangle\langle 0| + \sqrt{r}|1\rangle\langle 1|$ ,  $E_1 = \sqrt{1-r}|0\rangle\langle 1| + |1\rangle\langle 2|$ .



### Converse bounds comparison

For any quantum channel  $\mathcal{N}$ , it holds

$$Q(\mathcal{N}) \leq R(\mathcal{N}) \leq Q_{\Gamma}(\mathcal{N}) \leq Q_{\Theta}(\mathcal{N}).$$

## Known converse bounds

	Strong converse	Efficiently computable	For general channels
$Q_\Gamma$	✓	✓	✓
$R$	✓	? (max-min)	✓
$\varepsilon$ -DEG	?	✓	✗
$E_C$	✓	? (regularization)	✓
$Q_E$	✓	✓	✓
$Q_{ss}$	?	? (unbounded dimension)	✓
$Q_\Theta$	✓	✓	✓

- ◎  $Q_\Gamma$ : SDP strong converse bound in this talk.
- ◎  $R$ : Rains information [Tomamichel, Wilde, Winter, 2017]
- ◎  $\varepsilon$ -DEG: Epsilon degradable bound [Sutter, Scholz, Winter, Renner, 2014]
- ◎  $E_C$ : Channel's entanglement cost [Berta, Brandão, Christandl, Wehner, 2013]
- ◎  $Q_E$ : Entanglement assisted quantum capacity [Bennett, Devetak, Harrow, Shor, Winter, 2014; Berta, Christandl, Renner, 2011]
- ◎  $Q_{ss}$ : Quantum capacity with symmetric side channels [Smith, Smolin, Winter, 2008]
- ◎  $Q_\Theta$ : Partial transposition bound [Holevo, Werner, 2001]
- ◎  $\exists \mathcal{N}, Q_\Gamma(\mathcal{N}) < \varepsilon\text{-DEG}(\mathcal{N})$ .

### Theorem (SDP converse bounds for finite blocklength Q)

For any quantum channel  $\mathcal{N}$  and error tolerance  $\varepsilon$ , the inequality chain holds

$$\begin{aligned} Q^{(1)}(\mathcal{N}, \varepsilon) &\leq Q_{PPT \cap NS}^{(1)}(\mathcal{N}, \varepsilon) \\ &\leq -\log \widehat{g}(\mathcal{N}, \varepsilon) \leq -\log \widetilde{g}(\mathcal{N}, \varepsilon) \leq -\log g(\mathcal{N}, \varepsilon) \leq -\log f(\mathcal{N}, \varepsilon). \end{aligned}$$

### Theorem (SDP strong converse bound for Q)

For any quantum channel  $\mathcal{N}$ ,

$$\begin{aligned} Q(\mathcal{N}) &\leq Q_{\Gamma}(\mathcal{N}) = \log \max \text{Tr } J_{\mathcal{N}} R_{AB} \\ \text{s.t. } R_{AB}, \rho_A &\geq 0, \text{Tr } \rho_A = 1, \\ &-\rho_A \otimes \mathbb{1}_B \leq R_{AB}^{T_B} \leq \rho_A \otimes \mathbb{1}_B. \end{aligned}$$

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$$Q(\mathcal{N}) \leq R(\mathcal{N}) \leq Q_{\Gamma}(\mathcal{N}) \leq Q_{\Theta}(\mathcal{N}).$$

- ◎ How to apply our relaxation technique to Gaussian channels?
- ◎  $Q_\Gamma$  does not work well for depolarizing channels. Can we obtain a better result from the linear programs  $\hat{g}$ ,  $\tilde{g}$  or  $g$ ?

THE END

THANK YOU!

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