

# Complementary Information Principle and Universal Uncertainty Regions

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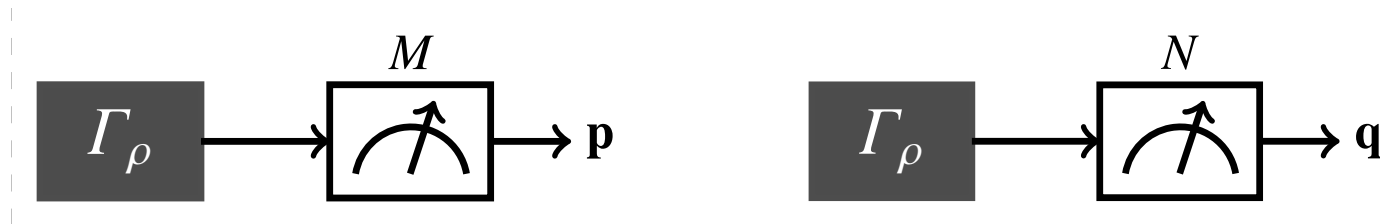
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# A Bit of History

## Physical scenario of preparational UR



## A short history [see e.g. Coles-Berta-Tomamichel-Wehner'17, RMP]

- **1927, Heisenberg: (heuristic idea)** impossible to prepare a state such that its outcome probability distributions from the position and moment observables are both sharp.
- **1927, Kennard/ 1928, Weyl:**  $\Delta(Q)\Delta(P) \geq \hbar/2$
- **1983, Deutsch:**  $H(M) + H(N) \geq \text{const.}$
- **1988, Maassen-Uffink:**  $H_\alpha(M) + H_\beta(N) \geq -\log c, \quad 1/\alpha + 1/\beta = 2$
- **2010, Berta-Christandl-Colbeck-Renes-Renner:**  $H(M|B) + H(N|B) \geq -\log c + H(A|B)$
- **2011, Partovi/ 2013, Friedland-Gheorghiu-Gour:**  $p \otimes q \prec \omega$

# A Plethora of Applications

## Uncertainty Relation

Determine

**Nonlocality**

e.g. Oppenheim, J. and Wehner, S., 2010. The uncertainty principle determines the nonlocality of quantum mechanics. *Science*, 330(6007), pp.1072-1074.

Witness

**Entanglement**

e.g. Hofmann, H.F. and Takeuchi, S., 2003. Violation of local uncertainty relations as a signature of entanglement. *Physical Review A*, 68(3), p.032103.

Detect

**Non-Markovianity**

e.g. Maity, A.G., Bhattacharya, S. and Maujmdar, A.S., 2019. Detecting non-Markovianity via uncertainty relations. *arXiv preprint arXiv:1901.02372*.

Secure

**Quantum Cryptography/QKD**

e.g. Ng, N.H.Y., Berta, M. and Wehner, S., 2012. Min-entropy uncertainty relation for finite-size cryptography. *Physical Review A*, 86(4), p.042315.

Certify

**Quantum Randomness**

e.g. Miller, C.A. and Shi, Y., 2016. Robust protocols for securely expanding randomness and distributing keys using untrusted quantum devices. *Journal of the ACM (JACM)*, 63(4), p.33.

# Majorization as Uncertainty Measure

## How to quantify “uncertainty”?

1. Standard deviation, drawback: change under relabeling;
2. Entropy, no fundamental reason which entropy to use.



## Axiomatic approach (Two intuitive assumptions):

1. Uncertainty should not be changed by relabeling (permutation);

$$(0.3, 0.6, 0.1) \text{ v.s. } (0.1, 0.3, 0.6)$$

2. Uncertainty should not be decreased by forgetting information (discarding).

$$r\mathbf{p} + (1 - r)\pi\mathbf{p} \text{ should be more uncertain than } \mathbf{p} \text{ ( or } \pi\mathbf{p} \text{)}$$

## [Friedland-Gheorghiu-Gour'13]

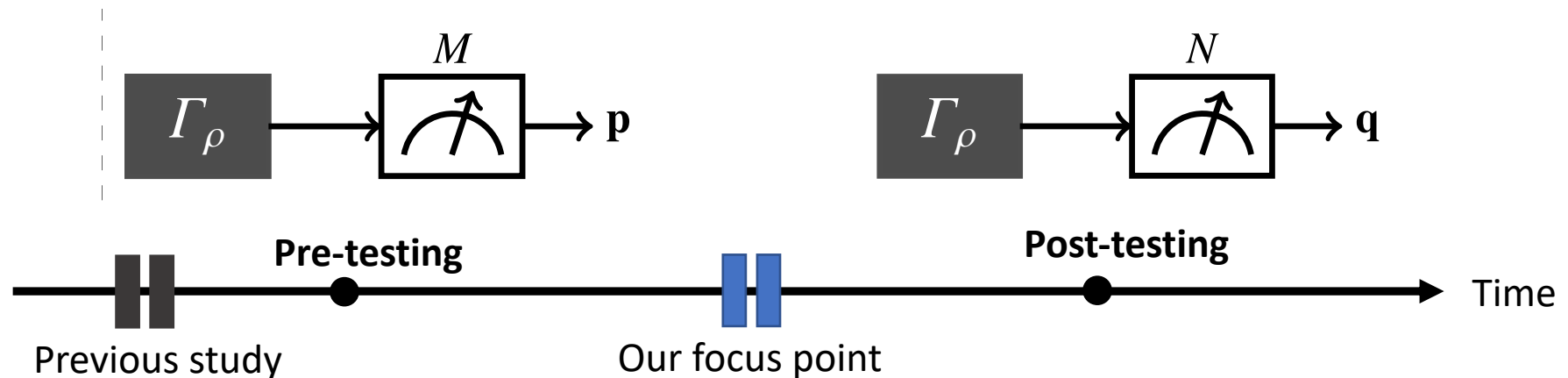
*majorization* is the most nature choice of uncertainty order;

any measure of uncertainty has to preserve the partial order induced by majorization, i.e. any Schur-concave function is a valid uncertainty measure .

$$\mathbf{x} \prec \mathbf{y} \iff \sum_{j=1}^k x_j^\downarrow \leq \sum_{j=1}^k y_j^\downarrow, \quad \forall k$$



# Main Result



**Question:** Given the *information gain* from the pre-testing, what is the *uncertainty* of the post-testing before it is actually performed?

## Complementary Information Principle

Let  $M = \{|u_j\rangle\}_{j=1}^n$  and  $N = \{|v_\ell\rangle\}_{\ell=1}^n$  be the measurements of pre- and post-testing respectively. If the pre-testing outcome probability is given by  $\mathbf{p} = (c_j)_{j=1}^n$ , then the post-testing outcome probability  $\mathbf{q}$  is bounded as  $\mathbf{r} \prec \mathbf{q} \prec \mathbf{t}$ .

1.  $\mathbf{r}$  and  $\mathbf{t}$  can be explicitly computed via semidefinite programs (SDPs).

$\mathbf{r}$ :  $n$  independent SDPs of size  $n$  by  $n$ ;  $\mathbf{t}$ :  $2^n$  independent SDPs of size  $n$  by  $n$ .

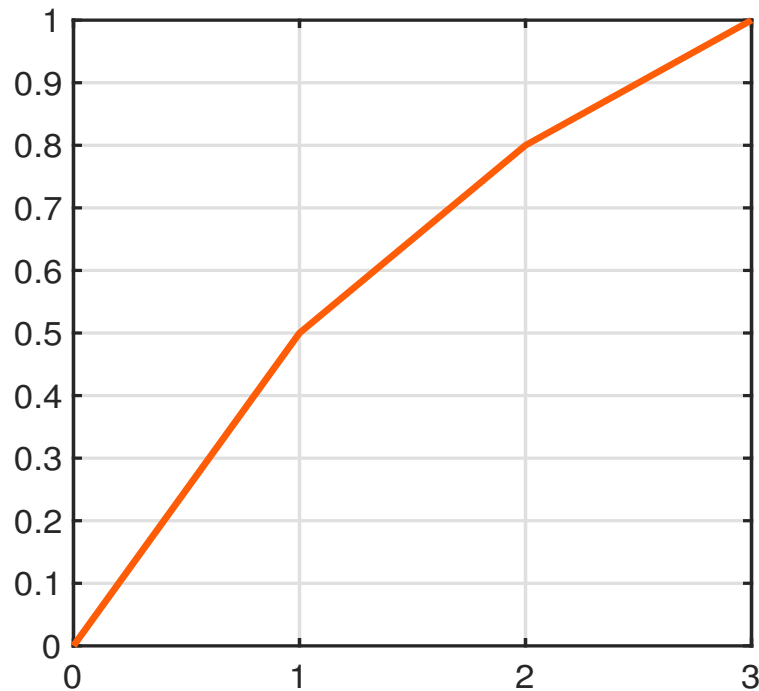
2.  $\mathbf{r}$  and  $\mathbf{t}$  are both **unique** and **tight** in majorization!

$$\mathbf{x} \prec \mathbf{q} \prec \mathbf{y} \implies \mathbf{x} \prec \mathbf{r} \prec \mathbf{q} \prec \mathbf{t} \prec \mathbf{y}$$

# Lorenz Curve

$\mathbf{x} = (x_i)_{i=1}^n$  in non-increasing order    **Lorenz curve**  $\mathcal{L}(\mathbf{x}) = \left\{ \left( k, \sum_{i=1}^k x_i \right) \right\}_{k=0}^n$

$$\mathbf{x} = (0.5, 0.3, 0.2) \quad \mathcal{L}(\mathbf{x}) = \{(0, 0), (1, 0.5), (2, 0.8), (3, 1)\}$$



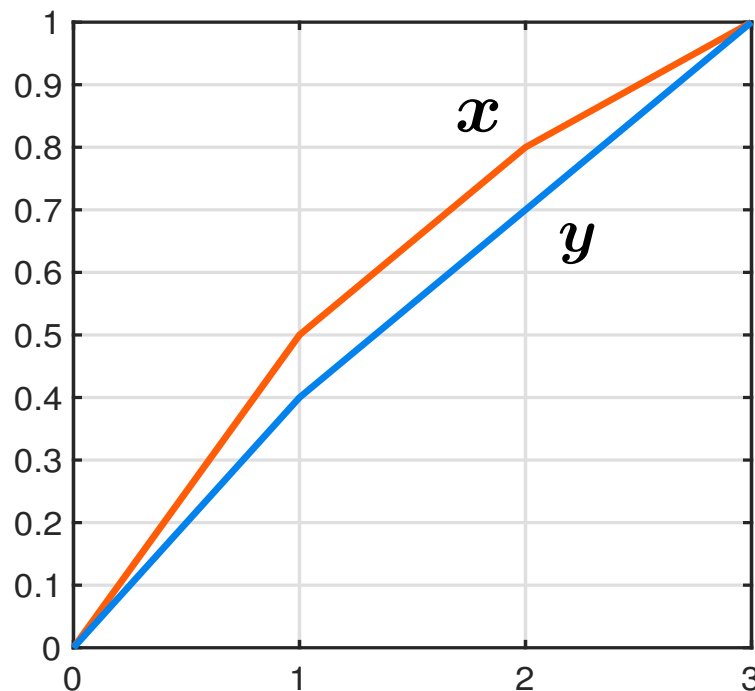
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$$\mathbf{y} = (0.4, 0.3, 0.3) \quad \mathcal{L}(\mathbf{y}) = \{(0, 0), (1, 0.4), (2, 0.7), (3, 1)\}$$

**Majorization relation**     $\mathbf{y} \prec \mathbf{x}$  if and only if  $\mathcal{L}(\mathbf{y})$  is everywhere below  $\mathcal{L}(\mathbf{x})$



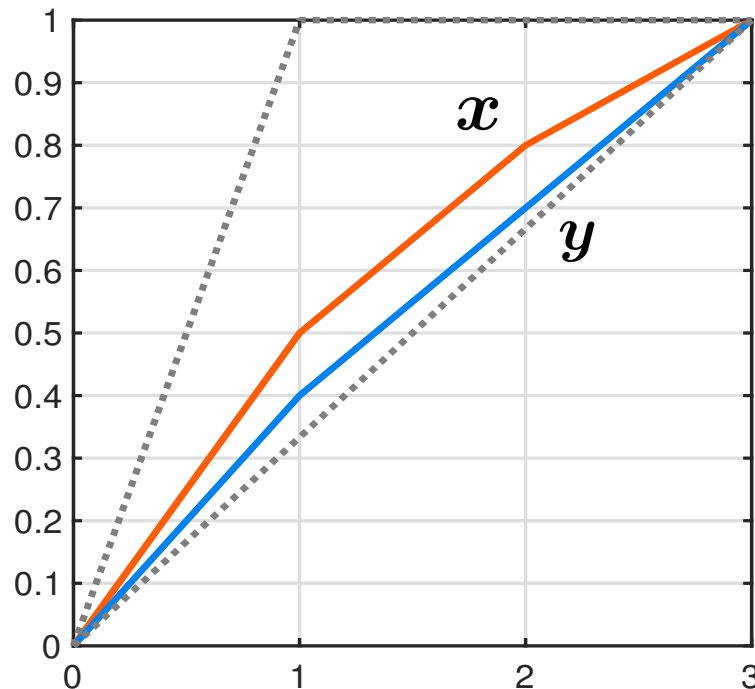
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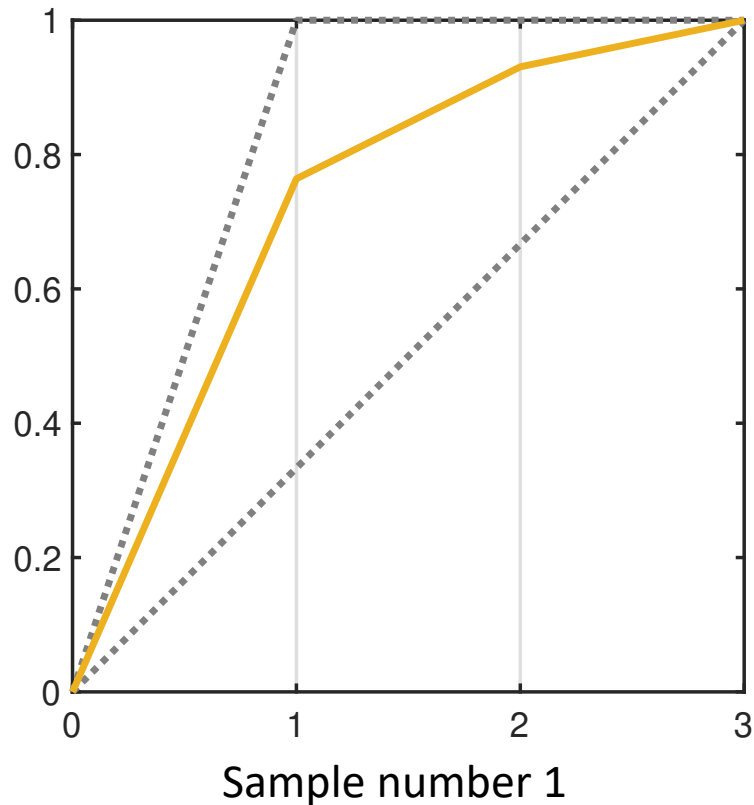
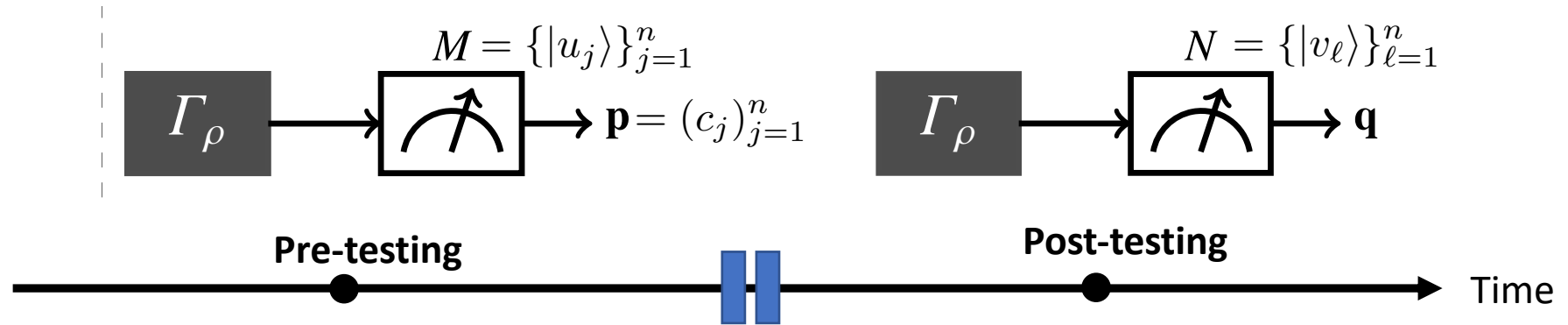
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**Remark:**  
a valid Lorenz curve is  
necessarily **concave**.

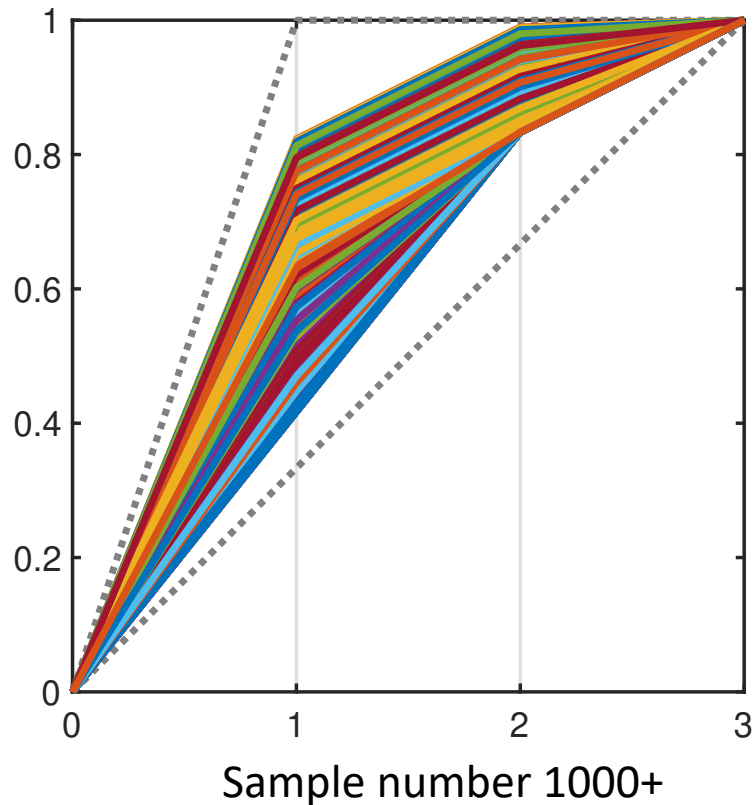
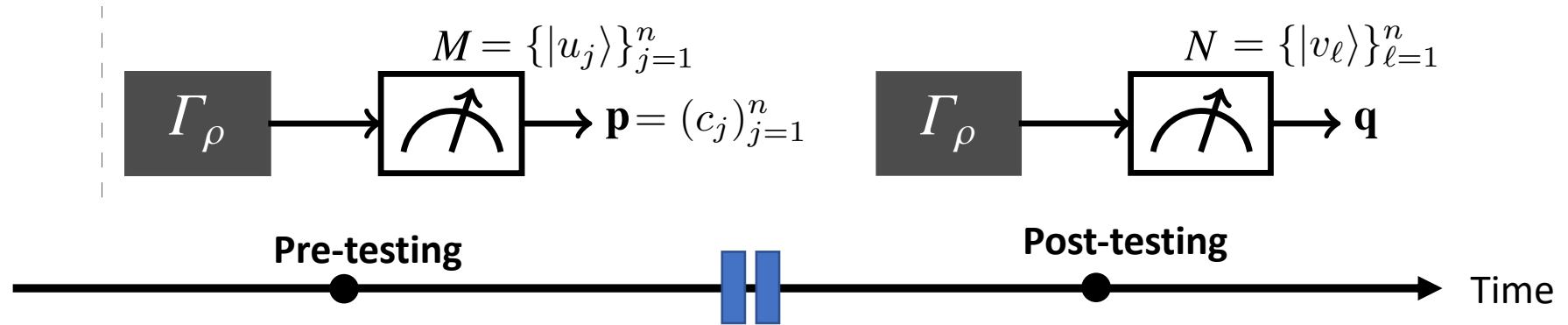
# Proof Intuition



The set of states compatible with the pre-testing

$$S(M, \mathbf{p}) = \{\rho : \text{Tr } |u_j\rangle\langle u_j| \rho = c_j, \forall j\}$$

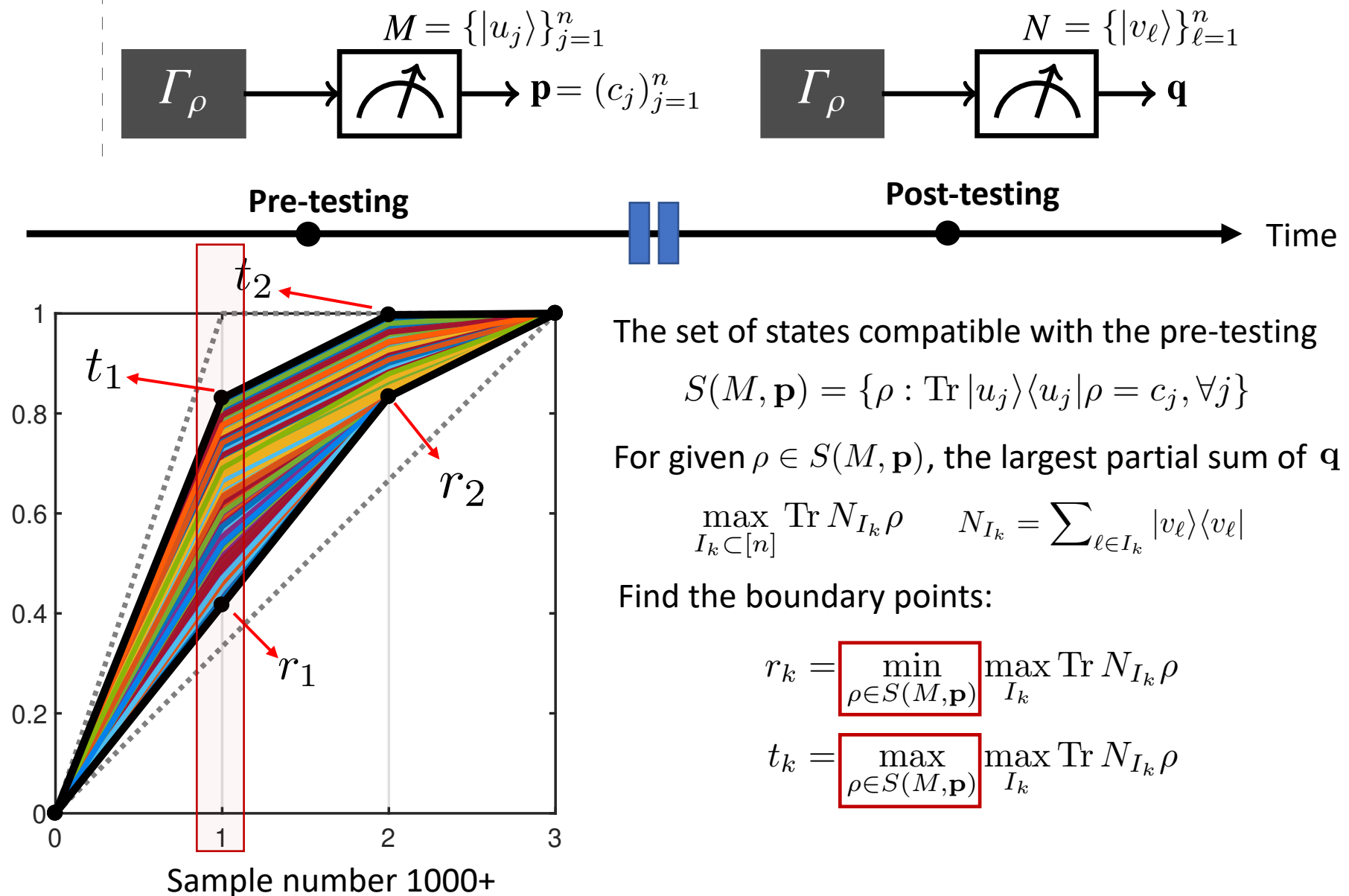
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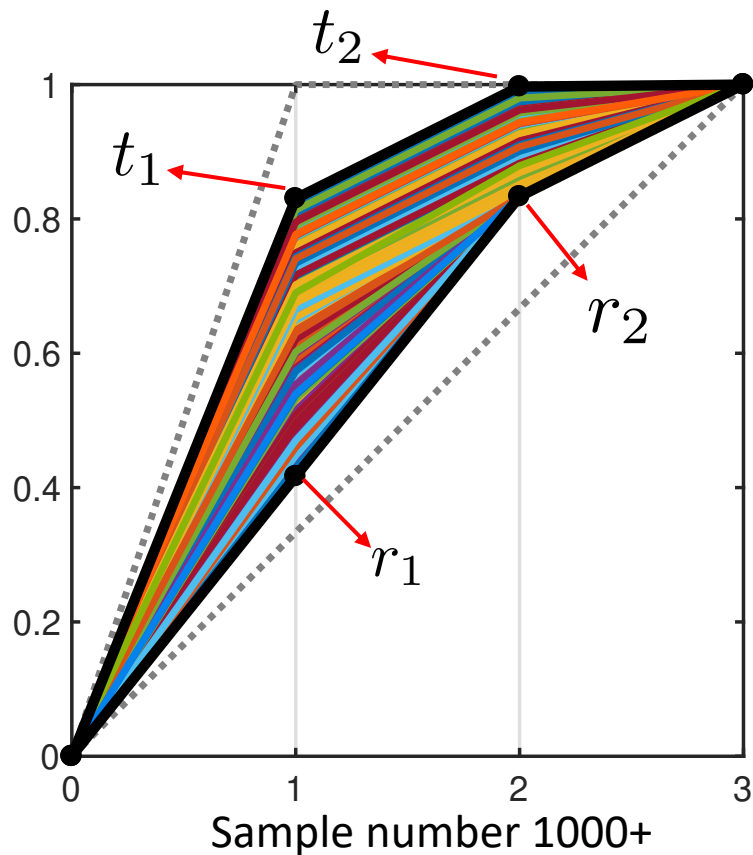
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The set of states compatible with the pre-testing

$$S(M, \mathbf{p}) = \{\rho : \text{Tr} |u_j\rangle\langle u_j| \rho = c_j, \forall j\}$$

For given  $\rho \in S(M, \mathbf{p})$ , the largest partial sum of  $\mathbf{q}$

$$\max_{I_k} \text{Tr} N_{I_k} \rho \quad N_{I_k} = \sum_{\ell \in I_k} |v_\ell\rangle\langle v_\ell|$$

Find the boundary points:

$$r_k = \min_{\rho \in S(M, \mathbf{p})} \max_{I_k} \text{Tr} N_{I_k} \rho$$

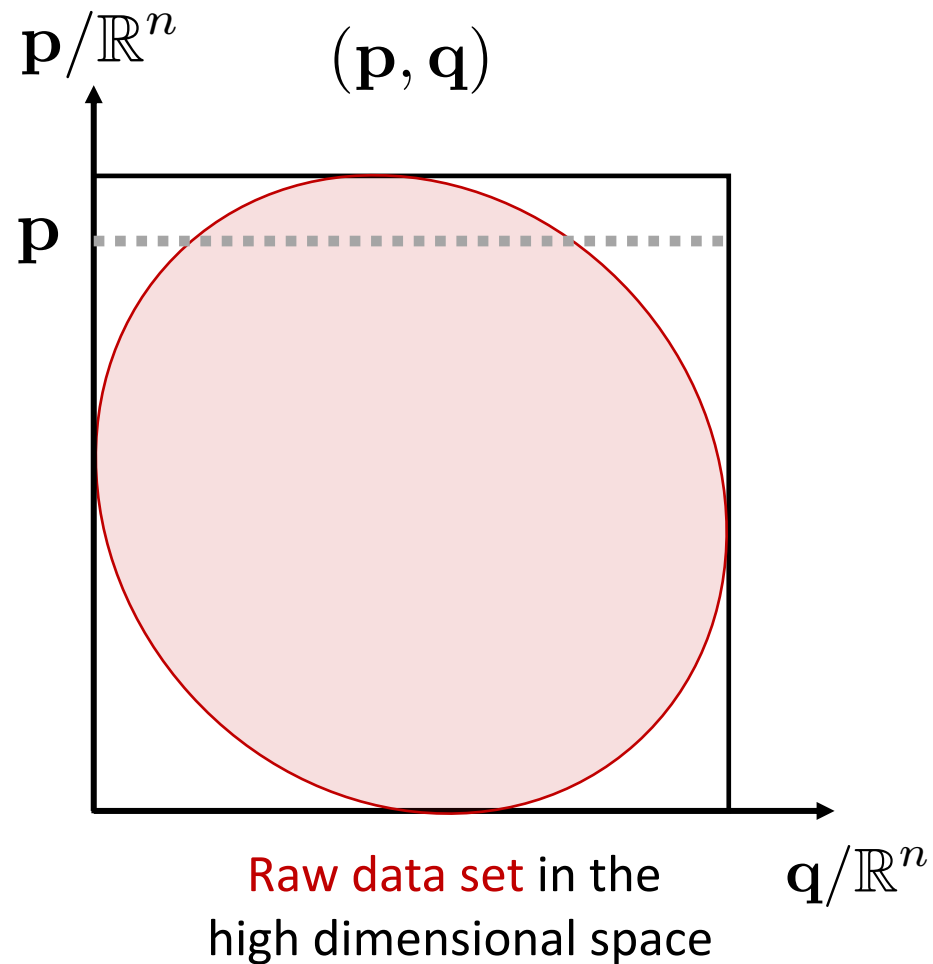
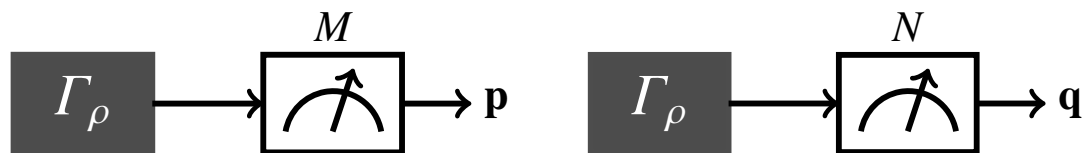
$$t_k = \max_{\rho \in S(M, \mathbf{p})} \max_{I_k} \text{Tr} N_{I_k} \rho$$

**Remarks:** 1.  $r_k = \min_{\rho \in S(M, \mathbf{p})} \max_{I_k} \text{Tr} N_{I_k} \rho = \min_{\rho \in S(M, \mathbf{p})} \min\{x : x \geq \text{Tr} N_{I_k} \rho, \forall I_k\}$

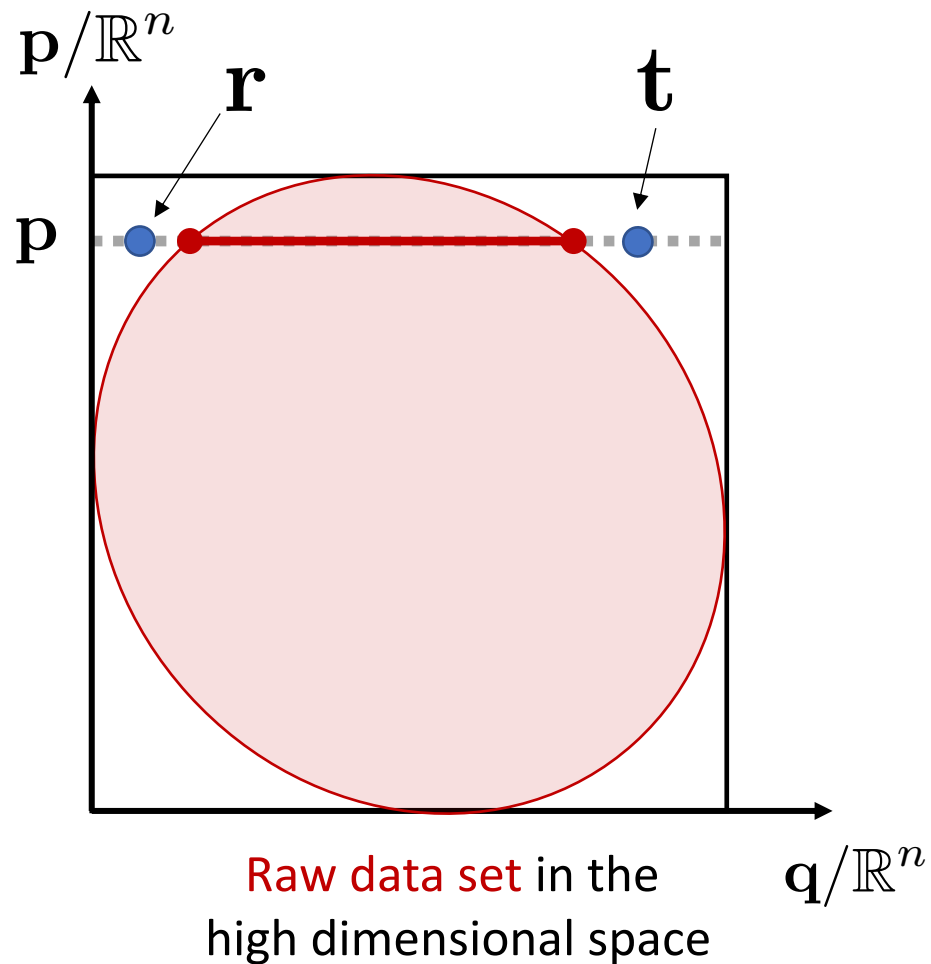
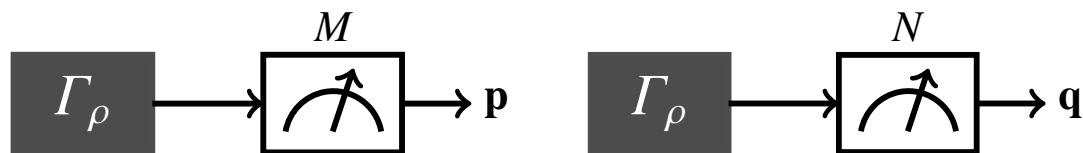
2. Upper boundary  $t_k$  is not necessarily concave, thus may not be a valid Lorenz curve. But we can construct a tightest concave curve above  $t_k$  by a standard process (*flatness process* [see Cicalese- Vaccaro'02])



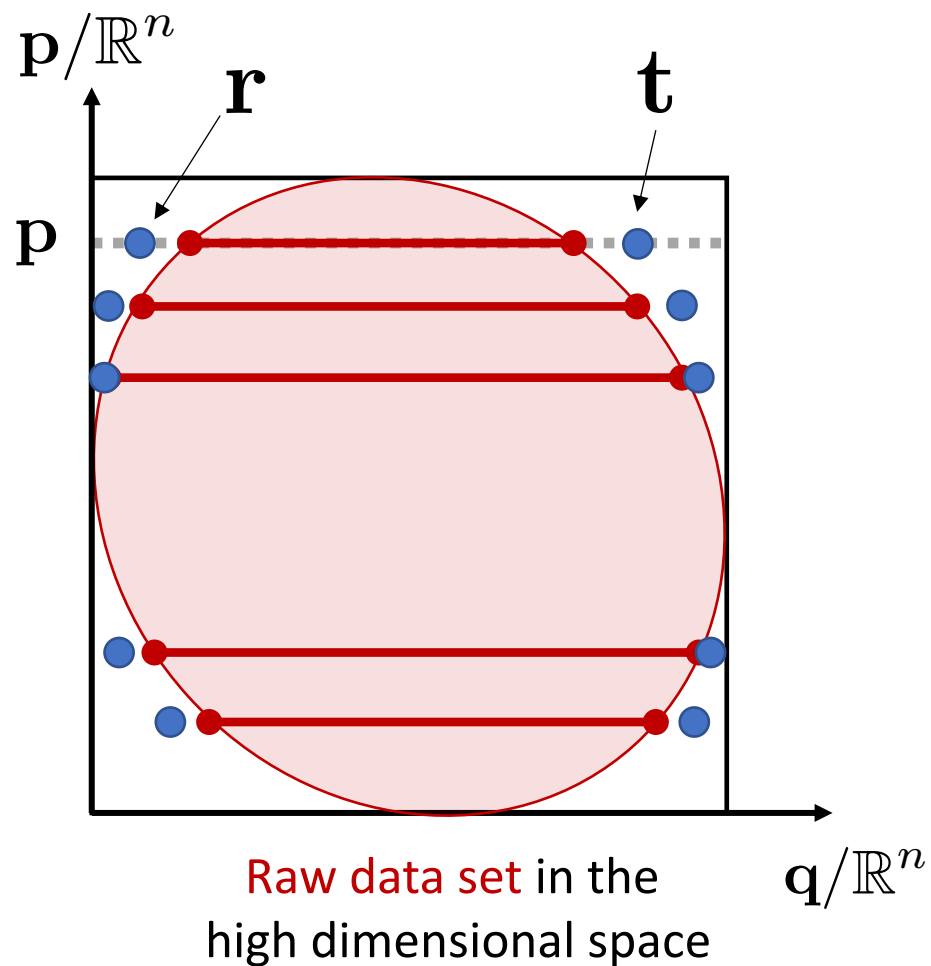
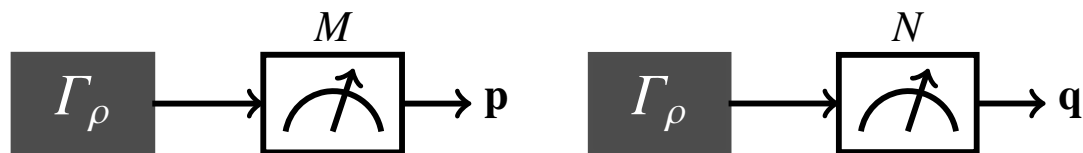
# Application 1: Universal Uncertainty Region



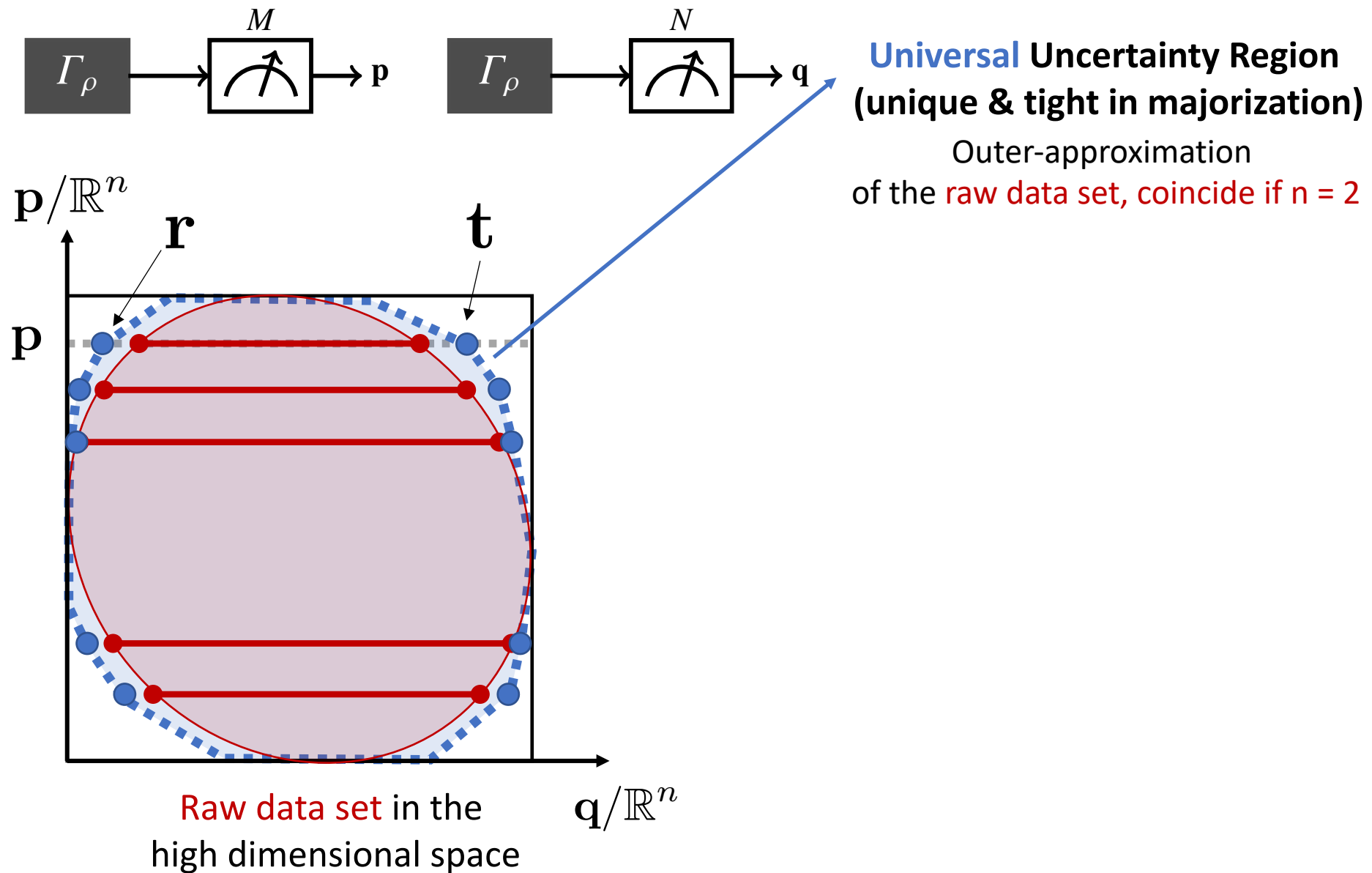
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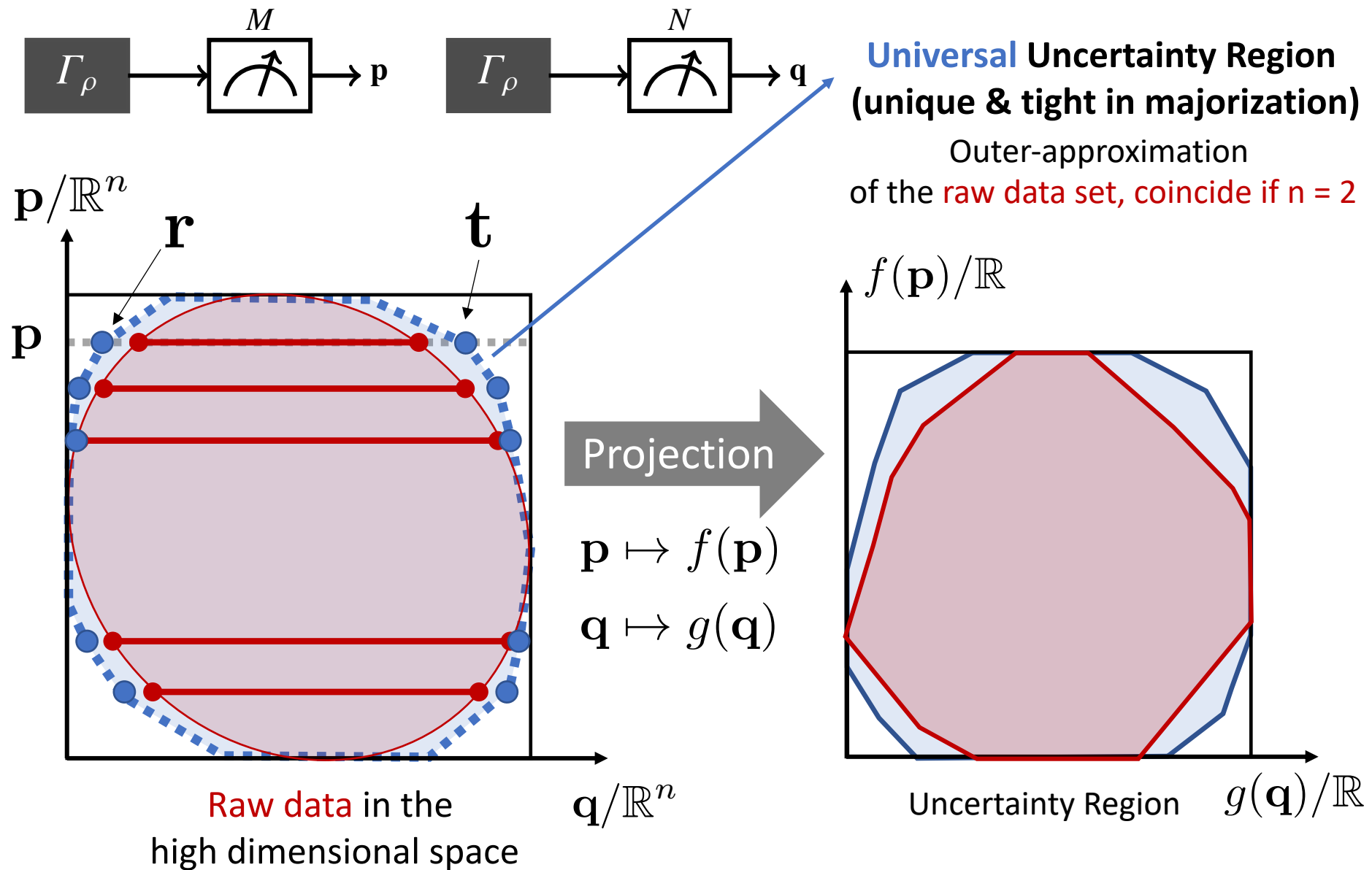
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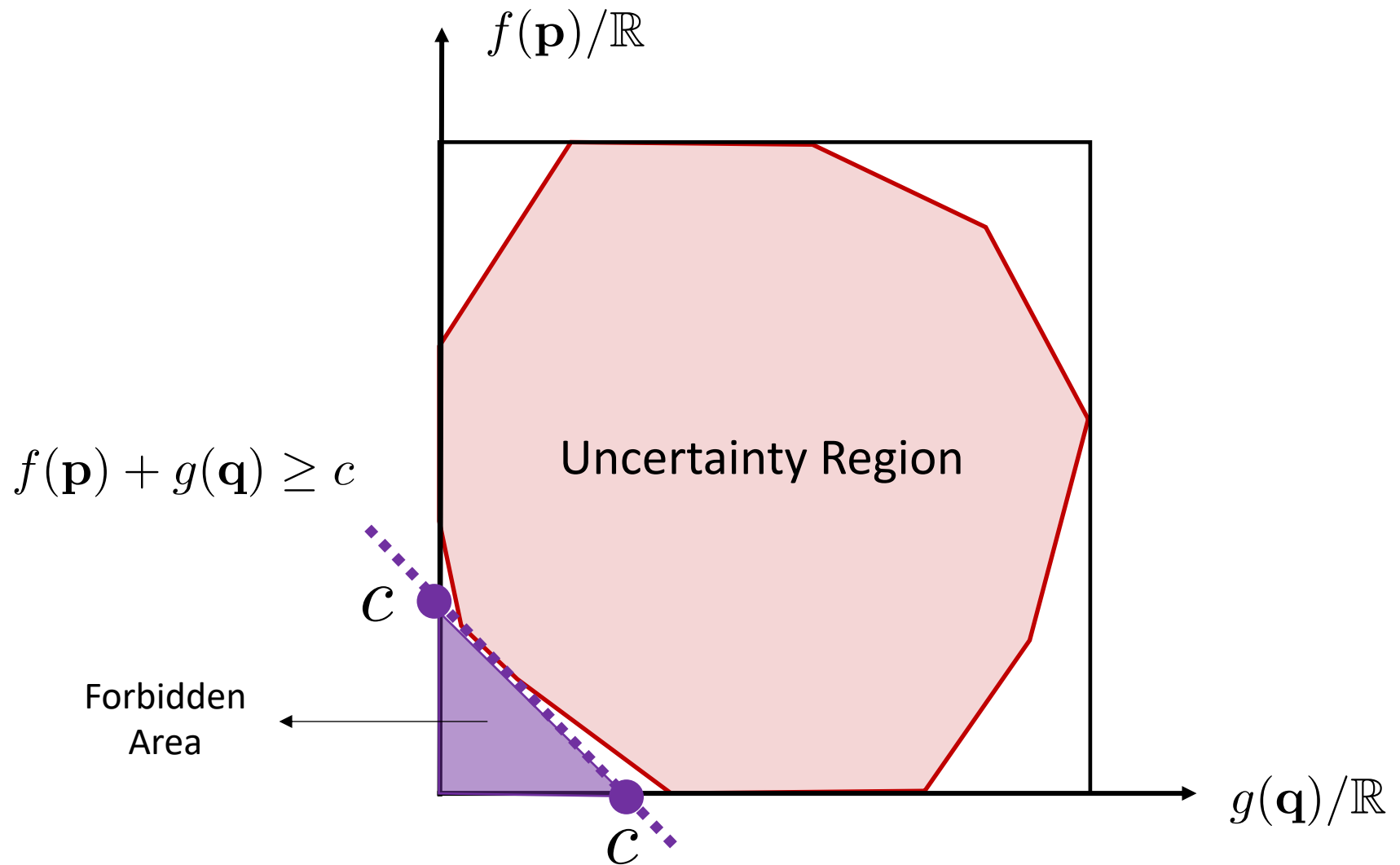
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# Uncertainty Region and Uncertainty relation



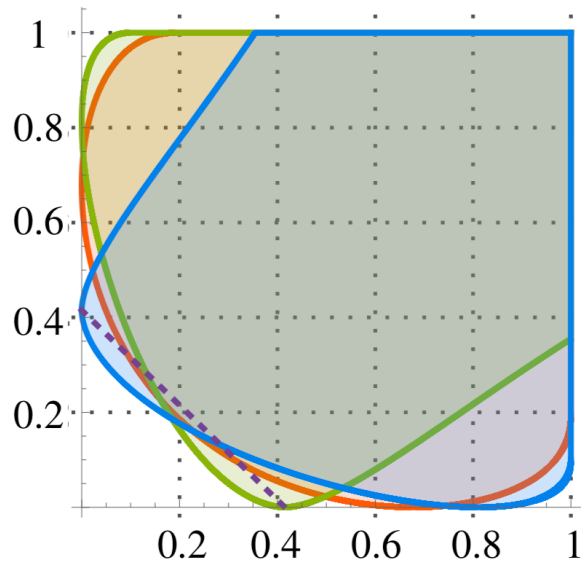
Uncertainty region is more informative than uncertainty relation in general.

# Application 1: qubit case

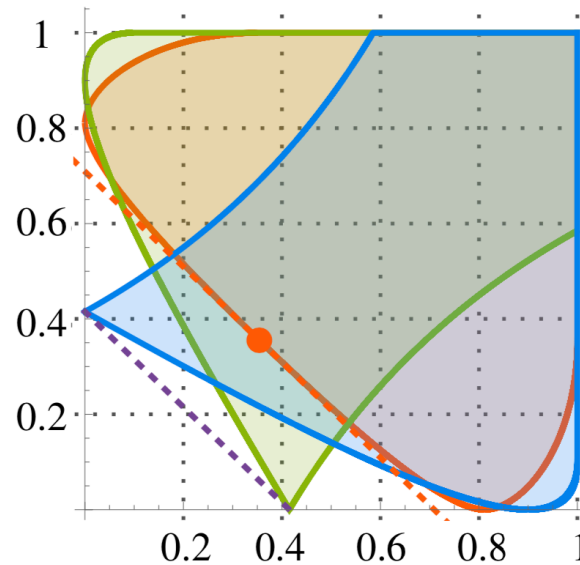
$$M = \{|0\rangle, |1\rangle\}, N = \{(|0\rangle - \sqrt{3}|1\rangle)/2, (\sqrt{3}|0\rangle + |1\rangle)/2\}$$

..... MU bound  $H_\alpha(M) + H_\beta(N) \geq \log(4/3), \quad 1/\alpha + 1/\beta = 2$

——  $(\alpha, \beta) = \left(\frac{2}{c}, \frac{2}{c}\right)$ 
——  $(\alpha, \beta) = \left(\frac{1}{c}, \infty\right)$ 
——  $(\alpha, \beta) = \left(\infty, \frac{1}{c}\right)$



(a)  $1/\alpha + 1/\beta = 1$



(b)  $1/\alpha + 1/\beta = 2$

# Application 2: Majorization based QRTs

**Task:** Given an unknown pure state  $|\psi\rangle$  and measurement device  $M$

$$|\psi\rangle \xrightarrow[\text{IO}]{?} |\varphi\rangle = \sum_{j=1}^n \sqrt{y_j} |j\rangle$$

$$|\psi\rangle = \sum_{j=1}^n \sqrt{x_j} |j\rangle \quad |\varphi\rangle = \sum_{j=1}^n \sqrt{y_j} |j\rangle \quad |\psi\rangle \xrightarrow[\text{IO}]{\text{free}} |\varphi\rangle \iff x \prec y$$

**Strategy:** 1. perform measurement  $M$  and obtain the pre-testing outcome  $\mathbf{p}$

2. Let  $N = \{|j\rangle\}_{j=1}^n$  be the post-testing and compute  $\mathbf{r}$  and  $\mathbf{t}$  by SDPs.

We have  $\mathbf{r} \prec \mathbf{x} \prec \mathbf{t}$ .

$$3. \quad \mathbf{t} \prec \mathbf{y} \quad \longrightarrow \quad \mathbf{x} \prec \mathbf{t} \prec \mathbf{y} \quad \longrightarrow \quad |\psi\rangle \xrightarrow{\text{yes}} |\varphi\rangle$$

$$\mathbf{y} \prec \mathbf{r} \quad \longrightarrow \quad \mathbf{y} \prec \mathbf{r} \prec \mathbf{x} \quad \xrightarrow{\text{w.p. 1}} \quad |\psi\rangle \xrightarrow{\text{no}} |\varphi\rangle$$

otherwise  $\longrightarrow$  No enough information



# Summary & Discussions

# Summary

- **Complementary Information Principle:** given the information gain from the pre-testing outcome, we can fully characterize the uncertainty of the post-testing.
    - Majorization bounds are SDP computable;
    - Unique and tight in majorization.
    - works for POVMs and even multiple measurements.
  - **Applications**
    - Universal uncertainty region
    - Determine quantum state transformation
    - Bounding joint uncertainty for any given measures
- 

## Open problems and future directions:

1. Is it possible to compute the majorization upper bound  $\mathbf{t}$  in a single SDP, instead of exponential many independent SDPs ?
2. Is there any more concrete applications of our general framework?  
E.g. in quantum cryptography, ERP steering....

Thanks for your attention!

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